



CUSTOMER NUMBER 25268

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Schwalbe et al. Attorney Docket No: CELL0013
Serial No: 09/578,224 Group Art Unit: 1764
Filed: May 24, 2000 Examiner: Jennifer A. Leung
Title: MODULAR CHEMICAL PRODUCTION SYSTEM INCORPORATING A
MICROREACTOR

FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

October 20, 2005

TO THE COMMISSIONER FOR PATENTS:

Applicant is aware of the information listed in the attached form may be material to the prosecution of the above-identified patent application.

1. Copies of the listed Foreign Patent Documents and Other Information are enclosed for the Examiner's use.
2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. § 120.
3. Documents cited herein marked with an "*" have not previously been cited in a priority application relied upon herein for an earlier filing date. Copies of any so-noted Foreign Patent Documents and Other Information are enclosed for the Examiner's use.
4. A concise explanation of the relevance of document I.D. No. _____ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided _____.
5. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
6. Submission with RCE: Pursuant to 37 C.F.R. § 1.114, this information disclosure statement is being submitted concurrently with a Request for Continued Examination (RCE) in the above-identified application.
7. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a

10/26/2005 RHEB/AHT 00000008 09578224

01 FC:1806

180.00 OP

CELL0013-1-1270013 163 S5.doc

1 final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311,
2 and is accompanied by:

- 3 a. _____ a certification as specified in 37 C.F.R. § 1.97(e); or
4 b. _____ the fee set forth in 37 C.F.R. § 1.17(p). Check No. _____ in the amount of
5 \$_____ is enclosed.

6 X 8. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed
7 after the mailing date of either:

- 8 a. X a final action under 37 C.F.R. § 1.113, and is accompanied by a certification
9 as specified in 37 C.F.R. § 1.97 (e); or

- 10 b. _____ a notice of allowance under 37 C.F.R. § 1.311,

11 but before payment of the issue fee. The statement is accompanied by a
12 certification as specified in 37 C.F.R. § 1.97(e), a statement requesting
13 consideration of the information disclosure statement, and the petition fee set
14 forth in 37 C.F.R. § 1.17(p). Check No. 8582 in the amount of
15 \$180 is enclosed.

16 X 9. Please charge any additional fees or credit any overpayment to Deposit Account
17 No. 01-1940. A copy of this sheet is enclosed.

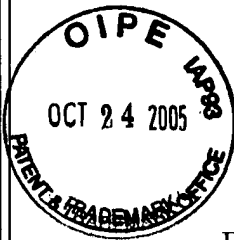
18 Respectfully submitted,

19 
20 Michael C. King
21 Registration No. 44,832

22 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed
23 envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner for Patents, P.O.
24 Box 1450, Alexandria, Virginia 22313-1450, on October 20, 2005.

25 Date: October 20, 2005

26 Elizabeth L. Miller
27
28
29
30
31



CUSTOMER NUMBER 25268

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Schwalbe et al. Attorney Docket No: CELL0013
Serial No: 09/578,224 Group Art Unit: 1764
Filed: May 24, 2000 Examiner: Jennifer A. Leung
Title: MODULAR CHEMICAL PRODUCTION SYSTEM INCORPORATING A
MICROREACTOR

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Bellevue, Washington 98004

October 20, 2005

TO THE COMMISSIONER FOR PATENTS:

The Information Disclosure Statement filed herewith includes references cited in a communication from a foreign Patent Office less than three months ago, and information cited in a communication from the U.S. P.T.O regarding a related U.S. application less than three months ago. As such, both the statement described in 37 CFR 1.97(e)(1) and the statement described in 37 CFR 1.97(e)(2) apply to the Information Disclosure Statement. Applicants have separately identified the references on the Listing Sheet. References US1-US4 were cited in a communication from a foreign Patent Office less than three months ago. References US5-US7 were not cited in a communication from a foreign Patent Office (having been cited in a communication from the U.S. P.T.O regarding a related U.S. application less than three months ago). No reference is contained in the Information Disclosure Statement filed herewith that is not encompassed by the certifications provided below.

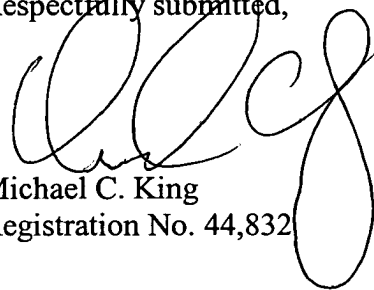
Certification for References US1-US4

The undersigned hereby certifies that each item of information contained in the information disclosure statement (other than references US5-US8, encompassed by the following certification) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

1 Certification for References US5-US8

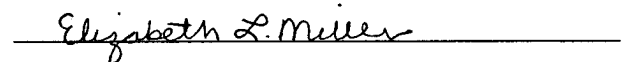
2 The undersigned hereby certifies that no item of information contained in the information
3 disclosure statement (other than references US1-US4, encompassed by the above certification) filed
4 herewith was cited in a communication from a foreign Patent Office in a counterpart foreign
5 application, or to my knowledge, after making reasonable inquiry, was known to any individual
6 designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this information
7 disclosure statement.
8

9 Respectfully submitted,

10 
11
12 Michael C. King
13 Registration No. 44,832
14

15 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed
16 envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner for Patents, P.O.
Box 1450, Alexandria, Virginia 2213-1450, on October 20, 2005.

17 Date: October 20, 2005

18 
19
20
21
22
23
24
25
26
27
28
29
30



CUSTOMER NUMBER 25268

Supplemental IDS Listing Sheet

**Information Cited By Applicant(s) That May Be Material To
The Prosecution Of The Subject Application**

Applicants: Schwalbe et al. Attorney Docket No. CELL0013
Serial No.: 09/578,224 Group Art Unit: 1764
Filed: May 24, 2000 Examiner: Jennifer A. Leung
Title: MODULAR CHEMICAL PRODUCTION SYSTEM INCORPORATING A
MICROREACTOR

**U.S. PATENT DOCUMENTS CITED IN A
COMMUNICATION FROM A FOREIGN PATENT OFFICE**

*Examiner Initial	ID	Document No.	Date	Inventor Name(s)	Class	Sub- Class
	US1	6,149,882	11/21/01	Guan et al.	422	211
	US2	6,701,774	03/09/04	Srinivasan et al.	73	23.42
	US3	6,827,095	12/07/04	O'Connor et al.	137	15.01
	US4	2002/0170976	11/21/02	Bergh et al.	236	49.1

**U.S. PATENT DOCUMENTS NOT CITED IN A
COMMUNICATION FROM A FOREIGN PATENT OFFICE**

*Examiner Initial	ID	Document No.	Date	Inventor Name(s)	Class	Sub- Class
	US5	5,399,317	03/21/95	Stolowitz	422	99
	US6	5,939,024	08/17/99	Robertson	422	101
	US7	6,494,614	12/17/02	Bennett et al.	366	36
	US8	6,656,423	12/2003	Joslyn	422	1

FOREIGN PATENT DOCUMENTS

NONE CITED

OTHER INFORMATION

NONE CITED

Examiner's Signature

Date

*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

MCK:cai
10/20/05